

Agenda – National Assembly for Wales Commission Audit and Risk Assurance Committee

Meeting Venue:

Conference Room 4B – Tŷ Hywel

Meeting date: 11 February 2019

Meeting time: 10.00

For further information contact:

Clerk: Kathryn Hughes

Committee Clerk

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1 Introductions, apologies and declaration of interests

2 Minutes of 26 November, actions and matters arising

(Pages 1 – 14)

Attached Documents:

ACARAC (01-19) Paper 1 Minutes Nov

ACARAC (01-19) Paper 2 Actions

3 Internal Audit Update Report

(Pages 15 – 23)

Attached Documents:

ACARAC (01-19) Paper 3 Internal Audit Update

4 Internal Audit Reports

(Pages 24 – 67)

Attached Documents:

ACARAC (01-19) Paper 4 IA Scheme of Delegation

ACARAC (01-19) Paper 5 IA GDPR Compliance Follow Up

ACARAC (01-19) Paper 6 IA Payroll

5 TIAA – External Audit partner – oral item



6 Update from WAO

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Attached Documents:

ACARAC (01-19) Paper 7 WAO update

7 Issues Management

(Pages 69 – 72)

Attached Documents:

ACARAC (01-19) Paper 8 Issue Management

8 Corporate Risks Report

(Pages 73 – 93)

Attached Documents:

ACARAC (01-19) Paper 9 Corporate Risk

ACARAC (01-19) Paper 9_Annex A Summary Corporate Risk Register

ACARAC (01-19) Paper 9_Annex B Corporate Risk plotted

9 Critical examination of one identified or emerging risk

(Pages 94 – 103)

Attached Documents:

ACARAC (01-19) Paper 10 Dignity and Respect Risk

10 Members Expense Management System Replacement project

(Pages 104 – 106)

Attached Documents:

ACARAC (01-19) Paper 11 Update Members Expense Management System

11 Review of accounting policies

(Pages 107 – 112)

Attached Documents:

ACARAC (01-19) Paper 12 Accounting policies annual review

12 Finance Update

(Pages 113 – 127)

Attached Documents:

ACARAC (01–19) Paper 13 Finance update

ACARAC (01–19) Paper 13 Finance Update–Annex 2 – Letter to the
Commissioner2019.01.14

ACARAC (01–19) Paper 13 Finance Update – Annex 3 – Letter to FC – Jan
2019

13 Departure Summary

(Page 128)

Attached Documents:

ACARAC (01–19) Paper 14 Departure Summary

14 Information Breaches – oral item

15 Forward Work Programme

(Pages 129 – 133)

Attached Documents:

ACARAC (01–19) Paper 15 FWP

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